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| GATES & COOPER LLP HOWARD HUGHES CENTER 6701 CENTER DRIVE WEST, SUITE 1050 LOS ANGELES, CA 90045 U0572005 HEA4 00000006 500494 09711660 11713/000 15 FE:1501 1400.00 DA 02 FE:18001 3.00 DA 03 FEILING DATE APPLICATION NO. FILING DATE PROPERTY OF THE STAMED INVENTOR 11713/000 17 FE:1501 17 FEILING DATE APPLICATION NO. FILING DATE APPLICATION NO. FILING DATE PROPERTY OF THE STAMED INVENTOR 17 FEILING DATE APPLICATION NO. FILING DATE APPLICATION METHOD AND APPARATUS FOR DETERMINING A RESPONSE TIME FOR A SEGMENT IN A CLIENT/SERVER COMPILITY ORDINARY OF THE STAME DATE OF THE STAME D    |   | ·  | any change of address)  | 1000   |                        | Fee(s) Transmittal Th                 | is certificate cannot be used  | for any other accompanying                        |
| LOS ANGELES, CA 90045  01 FC:1501 1400.00 DB  02 FC:8001 3.00 DB  APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION:  09711.60 11/13/2000 Paul F. Klein  APPLN-TYPE SMALL ENTITY ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1370 \$0 \$1370 01/10/2005  EXAMINER ART UNIT CLASS-BUBCLASS  LEE, PHILIP C 2154 709-224000  1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.36).  Change of correspondence address or indication form PTO/SBH/27 patched.  Description of the State of the United States and seigned is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been for recordation as set forth in 37 CFR 3.11. Completion of this form is NOTa substitute for filing an assignee is identified below, the document has been for the LIST OF CORP (1) Publication Fee (No small entity discount permitted)  ANSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (printed on the patent).  (A) NAME OF ASSIGNEE  ; International Business Machines Corporation  (B) RESIDENCE: (CITY and STATE OR COUNTRY)  2. Change in Early Status (from status indicated above)  Please check the appropriate assignee catagory or categories (will not be printed on the patent). Individual 20 Corporation or other private group entity  Gove 4a. A check in the amount of the fee(s) is enclosed.  Deposit Account Number 20 -0 49 34  Change in Early Status (from status indicated above)  A check in the amount of the Efe(s) is enclosed.  Deposit Account Number 20 -0 49 34  The Director of the USPTO is requested to apply the States Fee and Publication Fee (firmy) or to re-apply any previously paid issue fee to the application identified above.  A check in the amount of the spirited at the supplicant are registered attention or claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).  The Director of the USPTO is requested to apply the States Fatent and Trademark Office.  |   |  | /~`   | `\ <u>`</u> `\   |                        | have its own certificate              | e of mailing or transmission.  |   |
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| Operation   Oper      |   |  | 050   |  |                        | addressed to the Mai                  | l Stop ISSUE FEE address<br>TO (703) 746-4000, on the                | s above, or being facsimile date indicated below. |
| APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION 1  APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION 1  BY 11/1600 11/13/2000 Paul F. Klein 5/2004 - 06/10 7885  TITLE OF INVENTION: METHOD AND APPARATUS FOR DETERMINING A RESPONSE TIME FOR A SEGMENT IN A CLIENT/SERVER COMPTENTY IN A CLIENT/SERVER CO    |   |  | 660 PATE  |  |                        |                                       |  |   |
| APPLICATION NO.   FILING DATE   FIRST NAMED INVENTOR   ATTORNEY DOCKET NO.   CONFIRMATION 1    OP711,660  | 01 FC:1501 1400.  |  |   |  |                        | (Signature)                           |  |   |
| 997II,660 11/13/2000 Paul F. Klein 2707-2007-0610 7885  TITLE OF INVENTION: METHOD AND APPARATUS FOR DETERMINING A RESPONSE TIME FOR A SEGMENT IN A CLIENT/SERVER COMPLEX/INDIANAL ENTITY ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1370 \$0 \$1370 \$01/10/2005    EXAMINER  |   |  |   |  |                        |                                       |  | (Date)  |
| TITLE OF INVENTION: METHOD AND APPARATUS FOR DETERMINING A RESPONSE TIME FOR A SEGMENT IN A CLIENT/SERVER COMPLEXIFONMENT  APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1370 \$0 \$1370 01/10/2005  EXAMINER ART UNIT CLASS-SUBCLASS  LEE, PHILIP C 2154 709-224000  1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.543).  Q' Fee Address" indication for "Fee Address" Indication form PTO/SB/47, Rev 03-02 or more recent) attached. Use of a Customer Number is required.  3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  PILEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been firecordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filling an assignment.  (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)  The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (No small entity discount permitted)  Date November 12, 2004  Authorized Signature Date Mathematical Authorized Signature  Date November 12, 2004  | APPLICATION NO.   | FILING DATE  |   | FIRST NAMED INV  |                        | NTOR                                  | ATTORNEY DOCKET NO.  | CONFIRMATION NO.                                  |
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| nonprovisional NO \$1370 \$0 \$1370 01/10/2005  EXAMINER ART UNIT CLASS-SUBCLASS  LEE, PHILIP C 2154 709-224000  1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).  Change of correspondence address (or Change of Correspondence Address from PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence address from PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence address from PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence address from PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence address from PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence address from PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence address from PTO/SB/122) attached.  Change of correspondence address or indication of "Fee Address" indication form provided attached to a single firm (having as a member a registered patent attorneys or agents of a pagents of agents and provided attached to a pagents if no name is 18 determined to a pagents of agents of agents of agents of the document has been fire reciption as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for fling an assignment.  (A) NAME OF ASSIGNEE  (A) NAM |   | METHOD AND APPARA  | ATUS FOR DETE   | ERMINING   | A RESI                 | PONSE TIME FOR A                      | SEĞMENT IN A CLIEN   | VT/SERVER COMPUTING                               |
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| LEE, PHILIP C  1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  1. Change of correspondence address (or Change of Correspondence Address form PTO/SB/1/22) attached.  1. Change of correspondence address (or Change of Correspondence Address form PTO/SB/1/22) attached.  2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.  3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  PLEASE NOTE: Unless an assignce is identified below, no assignce data will appear on the patent. If an assignce is identified below, the document has been for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.  (A) NAME OF ASSIGNEE  (B) RESIDENCE: (CITY and STATE OR COUNTRY)  1. Individual Corporation or other private group entity of Government of the patent): Individual Corporation or other private group entity of Government of the patent of Payment of Pec(s):  2. Issue Fee  2. Publication Fee (No small entity discount permitted)  2. Acheck in the amount of the fee(s) is enclosed.  2. Payment of Pec(s): Payment by credit card. Form PTO-2038 is attached.  2. The Director is hereby guthorized by charge the required fee(s), or credit any overpaym Deposit Account Number 50 - 0 49 4 (enclose an extra copy of this form).  3. Assigned PAD Provided States Fatent and Trademark Office.  Authorized Signature  Date November 12, 2004   | nonprovisional  | nonprovisional NO \$13   |   | \$0  |                        | \$0                                   | \$1370   | 01/10/2005  |
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| CRR 1.363).  CRR 1.264.  CRR 1.363).  CRR 1.364.  CRR 1.364    | LEE, PHILIP C   |  | 2154  |  |                        | 709-224000                            |  |   |
| Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/142) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/142) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/142) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/142) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/142) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/142) attached.  Change of correspondence address (or Change of Correspondence Address from PTO/SB/142) attached.  Change in Entity Status (from status indicated above)  Actual Entity Status (from status indicated above)  Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attomey or agent) and the name of a single firm (having as a member a creatisered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up to 2 registered attomey or agent) and the names of up t    |   | e address or indication of "F  | ee Address" (37   | 2. For prin  | nting on               | the patent front page, li             | st . Catoo   | Cooper III  |
| Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.  3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been firecordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.  (A) NAME OF ASSIGNEE  International Business  Machines Corporation  Armonk, New York  Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Gove 4a. The following fee(s) are enclosed:    Publication Fee (No small entity discount permitted)   Payment of Fee(s):   A check in the amount of the fee(s) is enclosed.   Payment by credit card. Form PTO-2038 is attached.   Advance Order - # of Copiesone  |   |  |   | (1) the names of up to 3 registered patent attorneys     |                        |                                       |  |   |
| 2 registered patent attorneys or agents. If no name is 3  3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.  (A) NAME OF ASSIGNEE  (B) RESIDENCE: (CITY and STATE OR COUNTRY)  The rnational Business  Machines Corporation  Armonk, New York  Please check the appropriate assignee category or categories (will not be printed on the patent):  Issue Fee  Publication Fee (No small entity discount permitted)  Advance Order - # of Copies  One  Deposit Account Number 50 - 0494  The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other patents.  Authorized Signature  Date  November 12, 2004   | Address form PTO/SB/122) attached.  |  |   |  |                        |                                       |  |   |
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| Armonk, New York  Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Governance  4a. The following fee(s) are enclosed:    Solution    |   |  |   |  |                        |                                       | nee is identified below, the   | document has been filed for                       |
| Machines Corporation  Armonk, New York  Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual Corporation or other private group entity Governments of Fee(s):  A check in the amount of the fee(s) is enclosed.  Publication Fee (No small entity discount permitted)  Advance Order - # of Copies one  The Director is hereby authorized by charge the required fee(s), or credit any overpaym Deposit Account Number 50 - 0494 (enclose an extra copy of this form).  Change in Entity Status (from status indicated above)  a Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other printerest as shown by the records of the United States Patent and Trademark Office.  Date November 12, 2004  | (A) NAME OF ASSIGN  | EE   | (B  | B) RESIDENC  | CE: (CIT               | ΓΥ and STATE OR CO                    | UNTRY)   |   |
| Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government of Fee(s):    Ab. Payment of Fee(s):  | Internati   | onal Business  | 3   | Δrm  | onk                    | New York                              | •  |   |
| 4a. The following fee(s) are enclosed:    Sissue Fee  | Machines  | COIPOIACION  |   | AIII   | Olly                   | , New IOIK                            |  |   |
| Since   Sinc      |   |  |   |  |                        | Individual 🚨 C                        | orporation or other private g  | roup entity Government                            |
| Publication Fee (No small entity discount permitted)  Advance Order - # of Copies One  The Director is hereby authorized by charge the required fee(s), or credit any overpaym Deposit Account Number 50-0494 (enclose an extra copy of this form).  5. Change in Entity Status (from status indicated above)  a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other interest as shown by the records of the United States Patent and Trademark Office.  Authorized Signature  Date November 12, 2004  | •   | enclosed:  | 46  | _ ′  | ` '                    |                                       |  |   |
| Advance Order - # of Copies One  The Director is hereby authorized by charge the required fee(s), or credit any overpaym Deposit Account Number 50-0494 (enclose an extra copy of this form).  5. Change in Entity Status (from status indicated above)  a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).  The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other printerest as shown by the records of the United States Patent and Trademark Office.  Authorized Signature  Date November 12, 2004  |   |  |   | ,  |                        |                                       |  |   |
| 5. Change in Entity Status (from status indicated above)  a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).  The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other interest as shown by the records of the United States Patent and Trademark Office.  Authorized Signature  Date November 12, 2004   | _   |  |   |  |                        |                                       |  |   |
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| Authorized Signature  | • •   |  |   |  |                        | <u> </u>                              |  |   |
|   | The Director of the USPTO<br>NOTE: The Issue Fee and P<br>interest as shown by the reco | is requested to apply the Issi<br>jublication Fee (if required) words of the United States Pat | ue Fee and Publica<br>will not be accepted<br>ent and Trademark | tion Fee (if ar<br>d from anyon<br>Office.               | ny) or to<br>e other t | than the applicant; a reg             | ly paid issue fee to the applications is tered attorney or agent; or | the assignee or other party in                    |
| Typed or printed name ason S. Feldmar Registration No. 39, 187  | Authorized Signature  | (mon)  | 8. Felo   | 4  |                        | Date N                                | ovember 12, 2  | 2004  |
|   | Typed or printed name   | Jason S. Fel   | ldmar   | _  | _                      | Registration                          | <sub>1 No.</sub> 39,187  |   |

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Confirmation No.: 7885

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Paul F. Klein

Examiner:

Philip C. Lee

Serial No .:

09/711,660

Group Art Unit:

2154

Filed:

November 13, 2000

Docket:

30695.19-US-U1

Title:

METHOD AND APPARATUS FOR DETERMINING A RESPONSE TIME FOR A SEGMENT

IN A CLIENT/SERVER COMPUTING ENVIRONMENT

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Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797 Name: Vason S. Feldmar

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